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09/753397  
01/03/01

PATENT

Attorney Docket No.: 2933SE-62-DIV

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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED  
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TYPED OR PRINTED NAME: AIMEE M. THUERK

SIGNATURE: Aimee M. Thuerk

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

JINNO et al.

Serial No.: NOT YET ASSIGNED

Filed: HEREWITH

For: THIN FILM TRANSISTOR AND  
METHOD OF FABRICATING THE  
SAME

) Group Art Unit: 2815  
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)  
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)

Examiner: Eckert II, G.

INFORMATION DISCLOSURE  
STATEMENT

5th Prior Art

4/6/01

OBW

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

The references cited on attached Form PTO-1449 are being called to the attention of the Examiner. Copies of the cited references:

Are enclosed herewith.  
 Are not enclosed, in accordance with 37 C.F.R. 1.98(d), because the references were submitted to the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_, titled \_\_\_\_\_, and having a filing date of \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120

To the best of applicants' belief, the pertinence of the foreign-language references are believed to be summarized in the attached English abstracts and in the figures, although applicants do not necessarily vouch for the accuracy of the translation.

Examiner's attention is drawn to the following co-pending applications: Serial Nos. \_\_\_\_\_

Submission of the above information is not intended as an admission that any item is citable under the statutes or rules to support a rejection, that any item disclosed represents analogous art, or that those skilled in the art would refer to or recognize the pertinence of any reference without the benefit of hindsight, nor should an inference be drawn as to the pertinence of the references based on the order in which they are presented. Submission of this statement should not be taken as an indication that a search has been conducted, or that no better art exists.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application and the references made of record therein.

## FEES

<input checked="" type="checkbox"/>	<p>No fee is believed due in connection with this submission, because the information disclosure statement submitted herewith is being filed:</p> <p><input checked="" type="checkbox"/> within three months of the filing date of the application or date of entry into the national stage of an international application or <input type="checkbox"/> before the mailing date of a first Office Action on the merits, whichever occurs last. 37 C.F.R 1.97(b).</p> <p>Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970.</p>
<input type="checkbox"/>	<p>The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever occurred last but before the mailing date of either:</p> <p>(1) a final action under 37 C.F.R. 1.113 or (2) a notice of allowance under 37 C.F.R. 1.311,</p> <p>whichever occurs first. This Information Disclosure Statement is accompanied by:</p> <p><input type="checkbox"/> A Certification (below) as specified by 37 C.F.R. 1.97(e). Although no fee is believed due, if any fee is deemed due in connection with this submission, please charge such fee to Deposit Account 19-1970. OR</p> <p><input type="checkbox"/> A check in the amount of \$240.00 for the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement. Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970.</p>
<input type="checkbox"/>	<p>This Information Disclosure Statement is being submitted after the mailing date of a final action under §1.113 or a notice of allowance under § 1.311, but before payment of the issue fee.</p> <p><input type="checkbox"/> This information Disclosure Statement includes a Certification (below) as specified by 37 C.F.R. 1.97(e)</p> <p>AND</p> <p><input type="checkbox"/> Applicants hereby petition for consideration of the references disclosed herein. Enclosed is a petition fee in the amount of \$130.00 under 37 C.F.R. 1.17(i)(1). Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970.</p>
<input type="checkbox"/>	<p>Applicant elects to pay the fee set forth in 37 C.F.R. 1.17(p) for submission of an information disclosure statement, and the enclosed check includes \$240.00 for payment of such fee. Please credit any overpayment or charge any underpayment to Deposit Account No. 19-1970. Election to pay the fee should not be taken as an indication that applicant(s) cannot execute a certification.</p>

**Certification (37 C.F.R. 1.97(e))**  
(Applicable only if checked)

The undersigned certifies that:

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(1).

A copy of the communication from the foreign patent office is enclosed.

OR

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 2933SE-62-DIV	SERIAL NO. NOT YET ASSIGNED
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT JINNO et al.	S. 01/03/01 109/763397
		FILING DATE HEREWITH	GROUP ART

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
AA	6,130,120	10/10/00	PARK	438	166	
AB	5,858,820	1/12/99	JUNG et al.	438	150	
AC	5,946,562	8/31/99	KUO	438	150	
AD	5,834,797	11/10/98	YAMANAKA	257	57	
AE	5,773,329	6/30/98	KUO	438	162	
AF	5,744,824	4/28/98	KOUSAI et al.	257	74	
AG	5,733,793	3/31/98	SONG et al.	437	40	
AH	5,610,737	3/11/97	AKIYAMA et al.	349	47	
AI	5,548,132	8/20/96	BATRA et al.	257	66	
AJ	5,498,904	3/12/96	HARATA et al.	257	62	
AK	5,466,619	11/14/95	CHOI	437	47	
AL	RE 34,658	7/12/94	YAMAZAKI et al.	257	53	

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
					YES	NO

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	ATTY. DOCKET NO. 2933SE-62-DIV	SERIAL NO. NOT YET ASSIGNED
	APPLICANT JINNO et al.	
	FILING DATE HEREWITH	GROUP ART

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AM	Serikawa, et al., "LOW-TEMPERATURE FABRICATION OF HIGH-MOBILITY POLY-SI TFT'S FOR LARGE-AREA LCD'S", IEEE Transactions on Electron Devices, Vol. 36, No. 9, Sept. 1998

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.